CAQH CORE Review Work Group Ballot – *Draft CAQH CORE Attachments Operating Rule Packages, Draft CAQH CORE Eligibility & Benefit Data Content Rule Update, CAQH CORE Infrastructure Rules Update*

Ballot Purpose & Overview

This Ballot allows each CAQH CORE Review Work Group (RWG) Participating Organization to indicate whether they support the draft rules and rule requirements being balloted or not. Per the CAQH CORE Voting Process, the following must occur at the Work Group level for approval of the each of the items under consideration:

- **Quorum Required for Ballot:** 60% of the Work Group.
- **Approval Required for Ballot:** Simple majority vote (50%).

Draft items to review, listed in the order that they appear in the Ballot, include the following:

1. *NEW: Draft CAQH CORE Attachments (275/278) Prior Authorization Rule Package*
   - *Draft CAQH CORE Attachments (275/278) Prior Authorization Infrastructure Rule*
   - *Draft CAQH CORE Attachments (275/278) Prior Authorization Data Content Rule*
   - *Draft CAQH CORE Attachments (275/278) Prior Authorization Certification Test Scenarios*

2. *NEW: Draft CAQH CORE Attachments (275/837) Health Care Claims Rule Package*
   - *Draft CAQH CORE Attachments (275/837) Health Care Claims Infrastructure Rule*
   - *Draft CAQH CORE Attachments (275/837) Health Care Claims Data Content Rule*
   - *Draft CAQH CORE Attachments (275/837) Health Care Claims Certification Test Scenarios*

3. *UPDATED: Draft CAQH CORE Eligibility & Benefits Data Content Rule*

4. *UPDATED: CAQH CORE Infrastructure Operating Rule Requirements – System Availability*

CAQH CORE RWG approval is required before the Final CAQH CORE Vote. If the draft rules meet the required quorum and approval levels, the results of the RWG Ballot will be shared with RWG Participating Organizations via email following the end of the RWG Ballot submission period.

Additional Background

The RWG launched in August 2021 to review, refine, and approve *Draft CAQH CORE Attachments Operating Rules* for prior authorization and claims, updated *CAQH CORE Eligibility & Benefits Data Content Rule*, and identify areas of support to update the existing *CAQH CORE Infrastructure Operating Rules*.

The RWG completed two straw polls that measured the level of support for each of the four items being balloted. The straw polls provided insight into the RWG Participating Organizations' level of support and informed the refinement of each rule, rule requirement, and test scenario under consideration. Adjustments were made in accordance with the RWG straw poll findings and discussions. As a next step, the RWG will review final adjustments via the formal RWG Ballot.

Please click on the links below to access one-page summaries of each of the items being balloted. The purpose of these summaries is to provide RWG Participants a high-level overview of the draft items for RWG Ballot:

1. *CAQH CORE Attachments Rule Packages*

2. *CAQH CORE Eligibility & Benefits Rule Update*

3. *Updates to Existing CAQH CORE Infrastructure Rule Requirements*

Instructions

- Submit your response via the online survey (not via fillable PDF) by **end of day Friday, 12/17/21.**

- Select **"Support" or "Do Not Support"** to indicate whether your organization approves of each new rule package, updated rule, or requirement. If your organizations does not wish to take an official stance on any of the rules or requirements being balloted, you may also select **"Abstain".**

- Please reference the *Draft CAQH CORE Attachments Operating Rule Packages* and *Draft CAQH CORE Eligibility & Benefits Data Content Rule* while completing this RWG Ballot.

- The draft updates to system availability requirements apply across all eight existing *CAQH CORE Infrastructure Operating Rules* and the updates are identical in each rule.

- The *Draft CAQH CORE Prior Authorization & Referrals (278) Infrastructure Rule vPA.2.1* is included here as an example of how the draft system availability requirement update and non-substantive edits are incorporated within a full infrastructure rule. Participants may request copies of the other updated CAQH CORE Infrastructure Rules by contacting CAQH CORE. **NOTE**: The substantive and non-substantive updates are identical across the eight operating rules.

- This Ballot is to be completed by RWG Participants only. Please coordinate within your organization to submit only **one** response. Respondents may consult with other subject matter experts within their organization as needed.

- **To support coordination prior to submitting the final response via the online submission survey, a copy of the online form in a fillable PDF was provided to you via email.**

NOTE: In accordance with CAQH CORE policy, all responses will be kept strictly confidential.

Questions about the RWG Ballot should be directed to Kaitlin Powers at kpowers@caqh.org.

Review Work Group Participant Information

Please coordinate within your organization to submit only <u>one</u> response for your organization. Multiple responses from the same organization will not be tabulated.

First Name	
Last Name	
Position Title	
Name of CAQH CORE Participating Organization	
Email Address	
Phone Number	

Respondent Stakeholder Type

Please identify as one of the following stakeholder types:

	Provider/ Provider Association	Health Plan/ Health Plan Association	Vendor or Clearinghouse	Government	Other (includes Standards Organizations)
Select Stakeholder Type	○	○	○	○	○

NEW: Draft CAQH CORE Attachments (275/278) Prior Authorization Rule Package

Please select a response below to indicate your organization's vote for the **Draft CAQH CORE Attachments (275/278) Prior Authorization Rule Package.**

As a reminder, the **Draft CAQH CORE Attachments (275/278) Prior Authorization Rule Package** includes**:**
   1. *Draft CAQH CORE Attachments (275/278) Prior Authorization Infrastructure Rule*
   2. *Draft CAQH CORE Attachments (275/278) Prior Authorization Data Content Rule*
   3. *Draft CAQH CORE Attachments (275/278) Prior Authorization Certification Test Scenarios*

Support	**Do Not Support**	**Abstain**
○	○	○

You may optionally provide comments regarding your organization's vote, particularly if you voted 'Do Not Support' or 'Abstain'. **Please refer to the specific Subsections(s) and line number(s) for any comments provided.**

NEW: Draft CAQH CORE Attachments (275/837) Health Care Claims Rule Package

Please select a response below to indicate your organization's vote for the **Draft CAQH CORE Attachments (275/837) Health Care Claims Rule Package.**

As a reminder, the **Draft CAQH CORE Attachments (275/278) Prior Authorization Rule Package** includes**:**
   1. *Draft CAQH CORE Attachments (275/837) Health Care Claims Infrastructure Rule*
   2. *Draft CAQH CORE Attachments (275/837) Health Care Claims Data Content Rule*
   3. *Draft CAQH CORE Attachments (275/837) Health Care Claims Certification Test Scenarios*

Support	**Do Not Support**	**Abstain**
○	○	○

You may optionally provide comments regarding your organization's vote, particularly if you voted 'Do Not Support' or 'Abstain'. **Please refer to the specific Subsections(s) and line number(s) for any comments provided.**

UPDATED: Draft CAQH CORE Eligibility & Benefits Data Content Rule

Please select a response below to indicate your organization's vote for the updated **Draft CAQH CORE Eligibility & Benefits Data Content Rule.**

Support	**Do Not Support**	**Abstain**
○	○	○

You may optionally provide comments regarding your organization's vote, particularly if you voted 'Do Not Support' or 'Abstain'. **Please refer to the specific Subsections(s) and line number(s) for any comments provided.**

Updates to CAQH CORE Infrastructure Operating Rules - System Availability Requirements

Please review the draft substantive updates to the system availability requirement language highlighted in grey text below. This language is updated in each CAQH CORE Infrastructure Operating Rule.

4.3.1. System Availability Requirements

4.3.1.1. Weekly System Availability Requirement

System availability must be no less than ~~86~~ 90 percent per calendar week for both Real Time and Batch Processing Modes. System is defined as all necessary components required to process a 5010X217 278 Request and Response and a 5010X231 999 transaction. Calendar week is defined as 12:01 a.m. Sunday to 12:00 a.m. the following Sunday. This will allow for a HIPAA-covered health plan or its agent to schedule system updates to take place within a maximum of ~~24~~ 17 hours per calendar week for regularly scheduled downtime.

4.3.1.2. Quarterly System Availability Requirement

A HIPAA-covered health plan or its agent may choose to use an additional 24 hours of scheduled system downtime per calendar quarter. System is defined as all necessary components required to process a 5010X217 278 Request and Response and a 5010X231 999 transaction. This will allow a HIPAA-covered health plan or its agent to schedule additional downtime for substantive system migration. This addition allowance in system downtime is in excess of the allowable weekly system downtime specificied in Section 4.3.1.1.

As a reminder, the draft updates to the CAQH CORE Infrastructure Rules include substantive updates the system availability requirements and non-substantive updates to the existing CORE Connectivity and Companion Guide references; however only substantive adjustments are being formally voted on in this Ballot.

NOTE: To review the updated language for the system availability requirements shown above within a full CAQH CORE Infrastructure Rule, please click this link. The substantive and non-substantive updates are shown in grey highlight in the linked rule. Identical updates are included in each of the eight existing CAQH CORE Infrastructure Rules. Please contact core@caqh.org to see a copy of each of the CAQH CORE Infrastructure Rule with the proposed updates.

Please select a response below to indicate your organization's vote for the **updated System Availability Requirement.**

Support	Do Not Support	Abstain
○	○	○

You may optionally provide comments regarding your organization's vote, particularly if you voted 'Do Not Support' or 'Abstain'. **Please refer to the specific Subsections(s) and line number(s) for any commentsprovided.**

End of RWG Ballot

Thank you for completing the RWG Ballot. **Please click 'next' to formally submit your response.**