CAQH Committee on Operating Rules for Information Exchange (CORE)
Attachments Subgroup (Prior Authorization Use Case) (ASG-PA)
Call #5 Summary: Thursday, February 04, 2021, 3:00-4:30 pm ET Conference Call

This document contains:
- Agenda items and key discussion points.
- Decisions and actions to be taken.
- Next steps.
- Call attendance.

Agenda Item	Key Discussion Points	Decisions and Actions
1. Antitrust Guidelines	• Santo Carino (Epic) opened the call and reviewed the Antitrust Guidelines, noting that they are published on the CAQH CORE Calendar along with the meeting materials. • Santo Carino (Epic) introduced CAQH CORE staff supporting the Subgroup and other Co-chairs, Mahesh Siddanati (Centene) and Bob Gross (Cleveland Clinic).	*Discussion*

2. Roll Call and Administrative Items	• Santo Carino (Epic) reviewed the call documents:
	  ○ Doc #1: ASG-PA Call 5 Slide Deck 02.04.21
	  ○ Doc #2: ASG-PA Call 4 Summary 12.17.20
	• Santo Carino (Epic) reviewed the focus of the call, which was to:
	  ○ Level set on Subgroup progress, milestones, and summary of requirements.
	  ○ Review Draft CAQH CORE Attachments Infrastructure Rule Scope & Requirements.
	  ○ Review Draft CAQH CORE Attachments Data Content Rule Scope & Requirements.
	  ○ Discuss Next Steps.
	
	• Marianna Singh (CAQH CORE Senior Associate) facilitated roll call. [See call participant roster at the end of this meeting summary to view call attendees and affiliated organizations].
	• **Summary of ASG-PA Discussion:**
	  ○ No questions or comments were raised by the ASG-PA participants.
		Discussion

3. Summary of 12/17/20 ASG-PA Call #4 (Doc #2)	**Summary of 12/17/20 ASG-PA Call #4 (Doc #2).**
	• Reviewed results of ASG-PA Straw Poll #1.
	• Discussed Next Steps.
	
	• Marianna Singh (CAQH CORE Senior Associate) asked the group for motion to approve call summary.
	• **Summary of ASG-PA Discussion:**
	  ○ No questions or comments were raised by the ASG-PA participants.
		Action required:
		• Approved 12/17/20 Call Summary (Doc #2)
		  ○ Motion to approve by Deb McCachern (Change Healthcare)
		  ○ Seconded by Cindy Monarch (BCBSM)

Agenda Item	Key Discussion Points	Decisions and Actions

4. Level Set (Doc #1)	• Santo Carino (Epic) provided a level set of the ASG-PA roadmap and timeline.
	• **Summary of ASG-PA Discussion:**
	  o No questions or comments were raised by the ASG-PA participants.
	
	• Santo Carino (Epic) reviewed Straw Poll #1 summary of requirements.
	• **Summary of ASG-PA Discussion:**
	  o No questions or comments were raised by the ASG-PA participants.
	
	• Santo Carino (Epic) reviewed Summary of Draft Requirements.
	• **Summary of ASG-PA Discussion:**
	  o No questions or comments were raised by the ASG-PA participants.
	
	• Santo Carino (Epic) reviewed the two methods to submit additional documentation, X12 and non-X12.
	• **Summary of ASG-PA Discussion:**
	  o No questions or comments were raised by the ASG-PA participants.
		Discussion

5. Review Infrastructure Rule (Doc #1)	• Emily TenEyck (CAQH CORE Manager) reviewed the Draft Attachments 275/278 Infrastructure Rule Table of Contents.
	• **Summary of ASG-PA Discussion:**
	  o No questions or comments were raised by the ASG-PA participants.
	
	• Emily TenEyck (CAQH CORE Manager) reviewed the Draft Attachments 275/278 Infrastructure Rule Scope.
	• **Summary of ASG-PA Discussion:**
	  o No questions or comments were raised by the ASG-PA participants.
	
	• Mahesh Siddanati (Centene) reviewed the Draft Attachments Infrastructure Rule Processing Mode Requirements.
	• **Summary of ASG-PA Discussion:**
	  o No questions or comments were raised by the ASG-PA participants.
	
	• Mahesh Siddanati (Centene) reviewed the Draft Attachments Infrastructure Rule Connectivity Requirements.
	Summary of ASG-PA Discussion:
	  o No questions or comments were raised by the ASG-PA participants.
		Discussion

Agenda Item	Key Discussion Points	Decisions and Actions
	- Mahesh Siddanati (Centene) reviewed the Draft Attachments Infrastructure Rule System Availability Requirements. **Summary of ASG-PA Discussion:** ○ No questions or comments were raised by the ASG-PA participants. - Mahesh Siddanati (Centene) reviewed the Draft Attachments Infrastructure Rule Payload Acknowledgement & Response Time Requirements. **Summary of ASG-PA Discussion:** ○ No questions or comments were raised by the ASG-PA participants. - Mahesh Siddanati (Centene) reviewed the Draft Attachments Infrastructure Rule File Size Requirements. **Summary of ASG-PA Discussion:** No questions or comments were raised by the ASG-PA participants ○ No questions or comments were raised by the ASG-PA participants. - Mahesh Siddanati (Centene) reviewed the Draft Attachments Infrastructure Rule Companion Guide Requirements. **Summary of ASG-PA Discussion:** No questions or comments were raised by the ASG-PA participants ○ No questions or comments were raised by the ASG-PA participants.	

Agenda Item	Key Discussion Points	Decisions and Actions

6. Review Data Content Rule
 (Doc #3)
	• Bob Gross (Cleveland Clinic) reviewed the Draft Attachments Data Content Rule Requirements and Scope.
	 • **Summary of ASG-PA Discussion:**
	    o No questions or comments were raised by the ASG-PA participants.
	
	 • Bob Bowman (CAQH CORE Director) reviewed the Draft Attachments Data Content Rule Data Error Handling Requirements.
	 • **Summary of ASG-PA Discussion:**
	    o No questions or comments were raised by the ASG-PA participants.
	
	 • Bob Bowman (CAQH CORE Director) reviewed the Draft Attachments Data Content Rule Reassociation Requirements.
	 • **Summary of ASG-PA Discussion:**
	    o John Kelly (Edifecs) mentioned that subgroup members had previously discussed the idea that the X12 275 transaction has very clear direction in the X12 Implementation Guide in terms of structured and non-structured data. He also noted of the naming of the X12 275 transaction in the Da Vinci Implementation Guide and the subsequent indication by CMS that they would like to make this a compliance conversation if things move forward in the administration. Furthermore, the idea that the FHIR payload structure is encapsulated in the X12 278 but not well defined by either Da Vinci or X12 prompted a conversation about addressing the gap from an operating rule perspective since it is about data content and payload format.
	    o Bob Bowman (CAQH CORE Director) said he heard from others over the past few weeks as CAQH CORE prepared the draft attachment rules that it is an additional open question not only for the X12 278 transaction, but also for X12 837 and claims. CAQH CORE has it in future attachment plans to further discuss with the attachments subgroup and to review the X12 837 transaction.
	    o Bob Bowman (CAQH CORE Director) highlighted that CAQH CORE is aware these discussions may require adjustments to the X12 275 with the X12 278 use case and there may be operating rules requirements related to how FHIR and 275 interoperate for either use case.
	    o Rachel Foerster (CAQH CORE Consultant) provided perspective on the FHIR PAS IG. She noted that the HL7 FHIR PAS IG clearly shows it could interact and provide data through an intermediary to create an X12 278. Although unclear and still needing to be clarified by HL7, there is also the option to provide data to an intermediary to create an X12 275. She stated that there are two different perspectives regarding the data that is going to the intermediary to that create the X12 275:
	      – It could be additional clinical documentation to support the binary data, or
	      – It could be the entire data that would be used to create the X12 275 binary data segment and the 278. This is still an unresolved question regarding the HL7 FHIR PAS IG.
		Discussion

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	o John Kelly (Edifecs) responded that it does not matter if it is an intermediary or not and that when an organization has the FHIR output and the X12 278, they need to encapsulate it into the X12 275. Furthermore, the X12 275 is clear for what should be done if it is structured versus unstructured, but FHIR is a hybrid of structured and unstructured and it is not clear what that looks like inside the envelope. He questioned whether this draft rule would have a gap and recognizes Bob's sentiment that we are going to move forward with what we have, and that additional work will need to be done. o Rachel Foerster (CAQH CORE Consultant) supported that we still need clarity about what is coming out of HL7 FHIR PAS to create an X12 278 and/or an X12 275. o John Kelly (Edifecs) agrees that it is unclear and questioned whose role it is to provide clarification. He asked which vehicle to use to establish a standard operating model for the industry (e.g., an operating rule, an X12 IG, a Da Vinci IG). o Bob Bowman (CAQH CORE Director) stated that CAQH CORE can mediate these discussions through work groups or through relationships with HL7 and X12. CAQH CORE can bring information back to this work group for further discussion. o Rachel Foerster (CAQH CORE Consultant) agrees and thinks CAQH CORE could participate on HL7 conversations and clarify what is coming out of the HL7 PAS IG to create an X12 275. o Bob Bowman (CAQH CORE Director) stated the need to do some groundwork for the work group to bring the topic full circle. He said it is a great opportunity for industry to come together to identify a solution. He thanked John for the discussion.	

7. Next Steps for ASG-PA
(Slides 17-19)
	• Marianna Singh (CAQH CORE Associate) reviewed the Next Steps for the CAQH CORE Attachments (Prior Authorization Use Case) (ASG-PA) Subgroup:
	  o *CAQH CORE Attachments Subgroup – Prior Authorization (ASG-PA) Co-Chairs & Staff:*
	    – Launch the Subgroup's final straw poll. The straw poll will be open from Monday, February 8 to Friday, February 19.
	  o *ASG-PA Participating Organizations:*
	    – Attend the next CAQH CORE ASG-PA call on **Thursday, 03/04/2021 from 3:00-4:30 PM ET.**
	
	• **Summary of ASG-PA Discussion:**
	  No questions or comments were raised by the ASG-PA participants.
		Action required:
		
		Agreed to Next Steps.

Call Documentation
Doc 1: ASG-PA Call 5 Deck 02.04.21.pdf
Doc 2: ASG-PA Call 4 Summary 12.17.20.pdf

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CAQH CORE Contact Information

Bob Bowman	Emily Ten Eyck	Marianna Singh
Director, CORE	Manager, CORE	Associate, CORE
rbowman@caqh.org	eteneyck@caqh.org	msingh@caqh.org

Attachments Subgroup (Prior Authorization Use Case) Call #5 Attendance

CAQH CORE Participating Organization	Last Name	First Name	Attended
AccuReg Inc.	Uhles	Shelly	
AccuReg Inc.	Howell	Ryan	
Aetna	Morgan	Heather	
Aetna	Hodges	Rose	X
Aetna	Neves	Amy	
Aetna	Bellefeuille	Bruce	X
Aetna	Bakos	Janice	
Allscripts	Vaughan	Shay	
American Hospital Association (AHA)	Cunningham	Terrence	X
American Medical Association (AMA)	Malavey	Molly	X
American Medical Association (AMA)	Otten	Rob	
American Medical Association (AMA)	McComas	Heather	X
American Medical Association (AMA)	Lefebvre	Celine	X
Anthem Inc.	Bushman	Mary Lynn	X
Anthem Inc.	Cioffi	Chris	
Anthem Inc.	Green	Christol	
Anthem Inc.	Henry	Holly	
athenahealth	Prichard	Emily	X
athenahealth	Holtschlag	Joe	
athenahealth	Maguire	Kayla	

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CAQH CORE Participating Organization	Last Name	First Name	Attended
Availity, LLC	Barry	Michelle	
Availity, LLC	Sacks	Jeremy	X
Blue Cross and Blue Shield Association (BCBSA)	Cullen	Rich	
Blue Cross and Blue Shield Association (BCBSA)	Kocher	Gail	X
Blue Cross Blue Shield of Michigan	Edwards	Jeniene	
Blue Cross Blue Shield of Michigan	Knapp	Ron	X
Blue Cross Blue Shield of Michigan	O'Malley	Molly	X
Blue Cross Blue Shield of Michigan	Szydowlski	Taryn	X
Blue Cross Blue Shield of Michigan	Maldoddi	Laxmikanth	
Blue Cross Blue Shield of Michigan	Monarch	Cindy	X
Blue Cross Blue Shield of Michigan	Siebers	Carl	
Blue Cross Blue Shield of Michigan	Turney	Amy	X
Blue Cross Blue Shield of North Carolina	Smith	Troy	
Blue Cross Blue Shield of North Carolina	Hillman	Barry	
Blue Cross Blue Shield of North Carolina	Swain	Deborah	X
Blue Cross Blue Shield of North Carolina	Tummala	Sudheer	X
Blue Cross Blue Shield of Tennessee	Sims	Jeff	
Blue Cross Blue Shield of Tennessee	Langford	Susan	X
Blue Cross Blue Shield of Tennessee	Poteet	Brian	X
Blue Cross Blue Shield of Tennessee	Mead	Mitch	
Centene Corporation	Weigand	Jennifer	
Centene Corporation	Smart	Dustin	
Centene Corporation	Siddanati	Mahesh	X
Centers for Medicare and Medicaid Services (CMS)	Doo	Loraine	X
Centers for Medicare and Medicaid Services (CMS)	Jones	Melanie	
Centers for Medicare and Medicaid Services (CMS)	Connor	Beth	X
Centers for Medicare and Medicaid Services (CMS)	Jenifer	Shelly	

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Centers for Medicare and Medicaid Services (CMS)	Leonard	Connie	
Centers for Medicare and Medicaid Services (CMS)	Herring	Geanelle	
Centers for Medicare and Medicaid Services (CMS)	Cabral	Michael	X
Centers for Medicare and Medicaid Services (CMS)	Kalwa	Daniel	X
Centers for Medicare and Medicaid Services (CMS)	Wheeler	Gladys	
Change Healthcare	Denison	Mike	X
Change Healthcare	Mukker	Alka	
Change Healthcare	Jones	Gregory	
Change Healthcare	Fleming	Mark	
Change Healthcare	Johnson	Andrew	
Change Healthcare	McCachern	Deb	X
CIGNA	Soccorso	Megan	
Cleveland Clinic	Medve	Dan	X
Cleveland Clinic	Gross	Bob	X
Cognizant	Thalluri	Sandeep	
Cognosante	Saunders	Daniel	X
Cognosante	McDaniel	Mary Kay	
CSRA	Nair	Shilesh	X
CSRA	Cruisce	Jim	
DST Health Solutions	Rogers	Tim	
DST Health Solutions	Gandolfi	Gary	
DST Health Solutions	Giase	Valerie	X
Edifecs	Boincean	Cristina	
Edifecs	Kelly	John	X
Epic	Pasumarthi	Vasu	
Epic	Carino	Santo	X
Government Employees Health Association, Inc. (GEHA)	Enslinger	Darrell	

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Harvard Pilgrim Health Care	Starkey	Rhonda	X
Harvard Pilgrim Health Care	Querusio	David	X
Health Care Service Corp	Schimanski	Daphney	
Health Care Service Corp	Matzke	Beth	
Health Care Service Corp	Collins	Amanda	
Health Care Service Corp	Campbell	Donna	
Healthedge Software Inc	Brown	Margaret	
Healthedge Software Inc	Sbihli	Scott	
HEALTHeNET	Gracon	Christopher	X
Highmark, Inc	Hetherington	LuAnn	
Highmark, Inc	Sweigart	Robert	
Humana	Shick	Brad	
Humana	Jamison	Sandra	X
Humana	Peterson	Amy	X
Jopari Solutions, Inc	Wilson	Sherry	
Kaiser Permanente	Southam	Arthur	
Kaiser Permanente	Kessler	Christy	
Laboratory Corporation of America	Woodrome	Laurie	X
Laboratory Corporation of America	Rohrer	Vicki	
Marshfield Clinic	Hoffmann	Glynis	
Marshfield Clinic	Stangret	Pam	
Mayo Clinic	Venhuizen	William	X
Mayo Clinic	Fortek	Rebecca	
Mayo Clinic	Brannan	Andrea	
Mayo Clinic	Kocer	Grant	
Mayo Clinic	Kelly	Benjamin	
Mayo Clinic	Gundavda	Nisha	X

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Medical Group Management Association (MGMA)	Tennant	Robert	X
Medical Mutual of Ohio, Inc.	Conklin	Deb	
Michigan Department of Community Health	Veverka	C	X
Michigan Department of Community Health	Fuller	Diana	X
Michigan Department of Community Health	Banks	Deontey	X
Michigan Department of Community Health	Hinkle	Lori	
National Council for Prescription Drug Programs (NCPDP)	Weiker	Margaret	
National Council for Prescription Drug Programs (NCPDP)	Strickland	Teresa	
New England HealthCare Exchange Network (NEHEN)	Brennan	Denny	
New England HealthCare Exchange Network (NEHEN)	Delano	David	X
New Mexico Cancer Center	Bateman-Wold	Tonia	X
New Mexico Cancer Center	McAneny	Barbara L.	
NextGen Healthcare Information Systems, Inc.	Lopez	Jacqueline	
NextGen Healthcare Information Systems, Inc.	Harris	Gloria	
NextGen Healthcare Information Systems, Inc.	Schlichtig	Sue	
NextGen Healthcare Information Systems, Inc.	Team	Nancy	X
OhioHealth	Tummalapalli	Krishna	
OhioHealth	Gabel	Randy	X
Ohio Hospitals	Weaver	Quyen	X
OneHealthPort	Rubin	Richard	
OneHealthPort	Campbell	Bill	X
PriorAuthNow	Sandhaus	Jay	X
PriorAuthNow	Blackwell	Mike	
PriorAuthNow	Blasinski	Jeffrey	X
Security Health Plan of Wisconsin, Inc. (Marshfield Clinic)	Rock	Laurie	
Security Health Plan of Wisconsin, Inc. (Marshfield Clinic)	Kurtz	Heather	
Security Health Plan of Wisconsin, Inc. (Marshfield Clinic)	Foemmel	Sara	

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Security Health Plan of Wisconsin, Inc. (Marshfield Clinic)	Preston	Todd	
Security Health Plan of Wisconsin, Inc. (Marshfield Clinic)	Koch	Steven	X
The SSI Group, Inc.	Blossom	Mark	
The SSI Group, Inc.	Butt	David	
The SSI Group, Inc.	Tillman	Tracey	
United States Department of Veterans Affairs	Knapp	Katherine	
UnitedHealthGroup	Shamsideen	Janell	
UnitedHealthGroup	Nordstrom	Alexandria	
Verata Health	Backhaus	Brent	X
Virginia Mason Medical Center	Chambers	Kevin	
Virginia Mason Medical Center	Richart	Bri	
Virginia Mason Medical Center	Reeff	Amber	
Wells Fargo	St John	June	X
Wells Fargo	Birgenheier	Jason	X